

BEST AVAILABLE COPY

(19) World Intellectual Property Organization  
International Bureau(43) International Publication Date  
20 June 2002 (20.06.2002)

PCT

(10) International Publication Number  
WO 02/47610 A2(51) International Patent Classification<sup>7</sup>:

A61K

(21) International Application Number: PCT/US01/43758

(22) International Filing Date:

16 November 2001 (16.11.2001)

(25) Filing Language:

English

(26) Publication Language:

English

(30) Priority Data:

60/249,356 16 November 2000 (16.11.2000) US

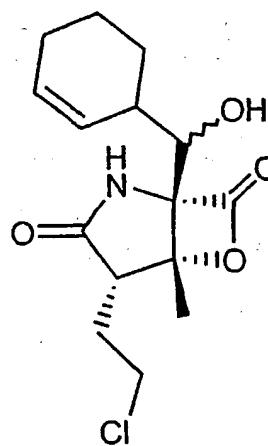
(71) Applicant: THE REGENTS OF THE UNIVERSITY  
OF CALIFORNIA [US/US]; 111 Franklin Street, 5th  
Floor, Oakland, CA 94067-5200 (US).(72) Inventors: FENICAL, William; 1128 Highland Drive, Del  
Mar, CA 92014 (US). JENSON, Paul, R.; 1706 Bancroft  
Street, San Diego, CA 92102 (US). MINCER, Tracy, J.;  
3822 First Avenue, San Diego, CA 92103 (US).(74) Agents: MCCLAIN, James, W. et al.; Brown, Martin,  
Haller & McClain, 1060 Union Street, San Diego, CA  
92101 (US).(81) Designated States (national): AE, AG, AL, AM, AT, AU,  
AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU,  
CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH,  
GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC,  
LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW,  
MX, MZ, NO, NZ, OM, PH, PL, PT, RO, RU, SD, SE, SG,  
SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, UZ, VN, YU,  
ZA, ZW.(84) Designated States (regional): ARIPO patent (GH, GM,  
KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW),  
Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM),  
European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR,  
GB, GR, IE, IT, LU, MC, NL, PT, SE, TR), OAPI patent  
(BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR,  
NE, SN, TD, TG).

## Published:

without international search report and to be republished  
upon receipt of that report

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: MARINE ACTINOMYCETE TAXON FOR DRUG AND FERMENTATION PRODUCT DISCOVERY

(57) Abstract: The invention is the discovery of an actinomycete genus, given the name *Salinospora* gen. no., that displays an obligate requirement of the seawater (NA<sup>+</sup>) for growth and unique 16S rRNA signature nucleotides. The invention is also the use of the genus for the production and discovery of active biomolecules such as pharmaceutical agents, agrochemicals, immunomodifiers, enzymes and enzyme inhibitors.

WO 02/47610 A2

**MARINE ACTINOMYCETE TAXON FOR DRUG AND  
FERMENTATION PRODUCT DISCOVERY**

**FIELD OF THE INVENTION**

The invention relates to the discovery of a novel taxon of marine bacteria of the order Actinomycetales and the use of this taxon for the discovery and production of proteins, secondary metabolites and biomolecules for use as pharmaceutical compositions, agrichemicals, immunomodifiers, enzymes and enzyme inhibitors.

**BACKGROUND OF THE INVENTION**

Microorganisms belonging to the class Actinobacteria, commonly called actinomycetes, reside taxonomically within the Gram-positive bacteria and are ubiquitous in terrestrial environments. Actinomycetes are a prolific source of diverse biologically active metabolites. They have been a source of a numerous useful products including pharmaceuticals, agrichemicals, low molecular weight enzyme inhibitors, immunomodifiers, and enzymes for use in a number of industrial applications, from the food industry to paper making. These microorganisms have also been useful in agriculture as a means of pathogen protection and growth enhancement. Although many useful substances have been discovered from soil actinomycetes over the last 60 years, the yield of novel products has drastically decreased as common soil species continually yield previously discovered metabolites. For this reason, there has been a major effort to discover new actinomycete taxa in the hope that these microorganisms will provide a new source of useful products (Bull et al., 2000).

Actinobacteria are one of a number of classes of bacteria. The class Actinobacteria can be further subdivided into six orders, including the Actinomycetales which can be broken down into 10 suborders. Classical methods for determining taxonomic novelty include morphological and

physiological criteria such as color, presence or absence of mycelia, hyphal branch characteristics, spore pattern and motility, tolerance of variation in temperature, salinity and pH, and the ability to utilize various substrates. Although these criteria remain an important component of taxonomic analyses, a new and more definitive method to establish strain uniqueness is 16S rDNA sequence analysis, which also provides evolutionary information on the isolate (Stackebrandt, et al., 1997).

Membership of a strain within the class Actinobacteria is indicated by 16S rDNA sequence similarity values above 80%, as determined by comparison of almost complete 16S rDNA sequences with the most deeply branching members of the class, and the presence of signature nucleotides (Stackebrandt, 1997). Signature nucleotides specific for a taxonomic group are chosen for their presence in more than 95% of the members of that group.

16S signature nucleotide sequences can be used on various taxonomic levels, from defining an order of bacteria to the subdivision of families into genera. This method provides a powerful mathematical model of bacterial evolution and an objective, rather than subjective, set of rules by which bacteria may be assigned a taxonomic status within the classification system.

Despite the fact that the oceans cover 70% of the earth's surface, all known actinomycete genera discovered to date have been land dwellers. In fact, only one marine actinomycete species has been described (Helmke and Weyland, 1984) and it belongs to a well-known terrestrial genus. Although actinomycetes have been cultured from marine sediments, it is widely believed that marine isolates are derived from dormant terrestrial spores that were washed into the sea (Goodfellow and Haynes, 1984). The "wash-in" theory was postulated because the marine isolates did not require seawater for growth, were closely related to terrestrial species, and tended to decrease in number with increasing distance from land (Goodfellow and Williams, 1983). Because many terrestrial actinomycetes can tolerate high salinity and pressure, and because of their distribution and physiology, it was concluded

that most actinomycetes have been washed into the sea and collect in sediments where they can survive for long periods of time as spores (Goodfellow and Haynes, 1984). These types of studies have led to the general belief that marine actinomycetes are not significantly different from those on land and therefore of little utility as a source of novel industrial products.

### SUMMARY OF THE INVENTION

The invention is the discovery, isolation and characterization of the first major obligate marine actinomycete taxon for which the name *Salinospora* gen. nov. is proposed. Members of this genus are readily recognized by a series of characteristic features including:

1. Obligate requirement of sodium (seawater) for growth.
2. Presence of at least 4 of the 5 16S rRNA signature nucleotides (Table 3) and close phylogenetic relatedness to the *Salinospora* clade using 16S rRNA treeing methods.
3. Morphological characteristics typically including:
  - a.) colony color ranging from orange to brown,
  - b.) no or scant aerial mycelia,
  - c.) diffusible melanin-like pigments and spores that blacken the colony surface,
  - d.) hyphae that are finely branched and non-fragmenting with spores produced singly or in clusters.

4. Comparison with a deposit of a type strain at the ATCC (American Type Culture Collection; 12301 Parklawn Drive; Rockville, Maryland 20852) on September 27, 2000 under number ATCC PTA-250.

The discovery of the *Salinospora* group refutes prior notions about actinomycetes in the marine environment and provides the first unequivocal evidence that major populations of unique, obligate marine actinomycetes occur widely in ocean sediments. Chemical studies of *Salinospora* group

members have led to the isolation of novel compounds and an exceptionally high rate of biologically active extracts indicating that these microorganisms have utility for drug discovery and other industrial applications.

The invention is the use of the novel taxon for the discovery and production of proteins, secondary metabolites and other biomolecules for use in pharmaceutical compositions, agrichemicals, immunomodifiers, enzymes and enzyme inhibitors. Active molecules can be purified from the actinomycetes themselves or metabolites may be purified from the growth media. This genus is a rich source of active biomolecules with many demonstrated pharmacological activities (e.g. antifungal, antimicrobial, anti-cancer). Extracts and products can be used in a number of assays well known to those skilled in the art to determine the activity of the various compounds derived from the actinomycetes.

The invention is the use of the genome of the taxon for the production of biomolecules in the context of the endogenous actinomycete strain or in other organisms. Genes may be expressed singly or in clusters under the control of constitutive or inducible promoters. Genes from the invention may be expressed in heterologous hosts as recombinant or over producing strains. Other portions of the genome, such as transcriptional regulatory elements, can also be used in heterologous contexts for the control of transcription. The genome may be either wild-type or mutant. Mutations may be spontaneous or created in a random or site directed manner by methods well known to those skilled in the art.

The invention is the use of the taxon for the production of gene products from heterologous organisms. Genes may be inserted either singly or in clusters into the actinomycete strains of the invention for expression of proteins, secondary metabolites or other biomolecules. Compounds may be isolated from the actinomycetes or the growth media.

The invention is the use of the taxon for pathogen and pest protection, insecticides, herbicides, microbiocides, growth promotion in agriculture and

aquaculture applications. Actinomycetes of the instant invention can compete with harmful micro-organisms in the environment of the plants providing a non-toxic means of protecting plants.

#### **BRIEF DESCRIPTION OF THE DRAWINGS**

The present invention will be better understood from the following detailed description of an exemplary embodiment of the invention, taken in conjunction with the accompanying drawings in which like reference numerals refer to like parts and in which:

**Figure 1.** Structure of salinosporamide A, the first novel, bioactive metabolite obtained from the *Salinospora* group. The isolation of this compound proves that the *Salinospora* group is a resource for unique, biologically active metabolites. The producing strain was cultured in a seawater-based medium and the compound was obtained in pure form following a series of chromatographic steps. The structure of salinosporamide A was elucidated using 1D and 2D nuclear magnetic resonance and high resolution mass spectral data analyses.

**Figure 2.** Phylogenetic dendrogram created using the neighbor joining method showing seven diverse members of the *Salinospora* clade along with representatives from all genera officially belonging to the *Micromonosporaceae* family (Koch, et al; 1996). The distance bar indicates 1 nucleotide substitution per 100 nucleotides. The *Salinospora* clade is shown in the bracket. In parenthesis along side of the *Salinospora* strain designations are the locations and dates of the expeditions from which the isolates were obtained. The *Salinospora* group to date includes 400-500 isolates that showed the characteristic features 1 and 3 (listed in the Background section). These strains are from three expeditions that were grouped first by location and date obtained, then by morphological diversity within each group. From each of these sub-groups, isolates were chosen for almost complete 16S rRNA gene sequencing (greater than 95% of the gene).

Phylogenetic programs contained in the Phyliip and Clustal W packages were used for analysis and the tree was drawn using Treeview 1.6.1.

**DETAILED DESCRIPTION AND PREFERRED EMBODIMENTS**

*Salinospora* strains can be consistently isolated from marine sediments and are distinguished by characteristic signature nucleotides, an obligate requirement of seawater (more specifically,  $\text{Na}^+$ ) for growth, and morphological characteristics. Isolates belonging to this group were obtained on five separate occasions from tropical to subtropical, near-shore sediments collected from the Atlantic Ocean, the Red Sea and the Sea of Cortez indicating a world-wide distribution. In these studies, a total of 147 independent sediment samples were evaluated and 51 of these yielded a total of 182 *Salinospora* isolates of which seven were subjected to in-depth physiological and phylogenetic evaluation (Table 1). Subsequently, over 1000 strains have been obtained from additional collections.

*Natural location of strains.* Select, diverse isolates representing over 1000 strains with *Salinospora* morphology were used for in-depth phylogenetic and physiological analyses. Nearly complete 16S rDNA sequences (>95% of the entire gene) were obtained for all strains listed. Note that CNB394 and CNB512 are marine-derived *Micromonospora* isolates and were carried through analyses to illustrate fundamental differences between *Salinospora* and *Micromonospora* genera.

**Table 1.**

Strain	Year and location	Habitat descrip. and depth	Genus
CNH643	1999 Bahamas, Sweetings Cay	Coarse sand, 1m	<i>Salinospora</i>
CNH646	1999 Bahamas, Andros Island	Spur and grove, 10 m	<i>Salinospora</i>

CNH725	2000 Red Sea, Sha'b el utal	Coarse sand, 20 m	<i>Salinospora</i>
CNH898	2000 Bahamas, Little San Salvador	Coarse sand, 30 m	<i>Salinospora</i>
CNH964	2000 Sea of Cortez Caleta Partida	Coarse sand, 30 m	<i>Salinospora</i>
CNB440	1989 Bahamas, Chub Cay	Spur and grove, 20 m	<i>Salinospora</i>
CNB536	1989 Bahamas, Acklins Island	Coarse sand and seagrass, 10m	<i>Salinospora</i>
CNB394	1989 Bahamas, Chub Cay	Coarse sand and seagrass, 1m	<i>Micromonospora</i>
CNB512	1989 Bahamas, San Salvador Is.	Spur and grove, 30m	<i>Micromonospora</i>

All of the 182 *Salinospora* strains tested failed to grow on an agar medium when seawater was replaced with deionized water. Seven phylogenetically diverse strains were further characterized and shown to require sodium for growth (Table 2), a physiological characteristic commonly associated with obligate marine bacteria. Sodium requirements have been studied extensively in Gram-negative marine bacteria and are indicative of highly evolved marine adaptations such as a respiration-dependant sodium ion pump and/or a sodium dependent membrane transport mechanism. The requirement of seawater (sodium) for growth is extremely rare in Gram-positive bacteria and has never before been reported for an actinomycete with the exception of *Rhodococcus marinonascens* (Helmke and Weyland, 1984)

*Assay for sodium dependent growth.* Physiological growth analysis illustrating fundamental growth differences between *Salinospora* (in bold) and marine-derived *Micromonospora* isolates (CNB394, CNB512) are shown in

Table 2. Tests were performed on medium M1 which was found to be optimal for the growth and maintenance of *Salinospora* and *Micromonospora* genera. Isolates were screened using a sterile cotton swab to inoculate macerated, vegetative mycelia onto each analytical medium which was then incubated at 25-28°C for six to eight weeks. Growth was checked periodically using a Leica stereoscope at 10-64X magnification. All strains grew equally well in natural seawater (NSW) or artificial seawater (ASW Na<sup>+</sup>). No detectable growth was observed for any of the *Salinospora* isolates on M1 prepared with de-ionized water (DI). The two *Micromonospora* isolates, CNB394 and CNB512, grew better on M1 DI water than on the seawater-based medium. Sodium growth requirements were tested on M1 prepared with ASW in which all sodium sources were replaced with equimolar amounts of potassium (M1, ASW K<sup>+</sup>). The sodium concentration in seawater of salinity 35 (used for M1, NSW medium in this study) is 450 mM. In order to determine the upper limits of sodium chloride tolerance, strains were tested for growth on M1 DI water in which sodium chloride was added to yield final sodium concentrations of 600 and 1000 mM. The *Salinospora* isolates showed no growth at these elevated sodium levels whereas growth was clearly evident for the marine-derived *Micromonospora* CNB394 and CNB512.

**Table 2.**

Medium Isolates Tested

	CNB 394	CNB 512	CNB 440	CNB 536	CNB 643	CNH 646	CNH 721	CNH 898	CNH 964
M1, NSW	+	+	++	++	++	++	++	++	++
M1, DI H <sub>2</sub> O	++	++	-	-	-	-	-	-	-

M1 ASW , Na <sup>+</sup>	+	+	++	++	++	++	++	++	++
M1 ASW K <sup>+</sup>	+	+	-	-	-	-	-	-	-
600 mM [Na <sup>+</sup> ]	+/-	+/-	-	-	-	-	-	-	-
1000 mM [Na <sup>+</sup> ]	+/-	+/-	-	-	-	-	-	-	-

*Salinospora* isolates are proving to be a remarkable source of biologically active secondary metabolites. Thus far, of the 105 strains examined, 86% yielded culture extracts with significant cancer cell cytotoxicity (IC<sub>50</sub> values ranging from 0.004 - 16.4 micrograms/ml against the HCT-116 human colon carcinoma cell line). Significant antifungal and antibiotic activities have also been observed from the extracts of cultures grown under various conditions with 30% yielding MIC values of 19.5 micrograms/ml or less against amphotericin resistant *Candida albicans* and 35% yielding extract minimum inhibitory concentration (MIC) values of 25 micrograms/ml or less against vancomycin resistant *Enterococcus faecium*.

Thin layer chromatography and liquid chromatography/mass spectrometric analyses, as well as Repetitive Extragenic Palindrome Polymerase Chain Reaction (REP-PCR), indicate considerable strain to strain chemical and genetic diversity. Bioassay-guided fractionation of one active extract has led to the isolation of a novel series of metabolites that includes a potent cytotoxin (IC<sub>50</sub> = 10 ng/ml against the HCT-116 human colon carcinoma cell line) that has been named salinosporamide A (Figure 1). This molecule is most closely related to *clasto-lactacystin* beta-lactone (also called

omuralide), the intermediary hydrolysis product of lactacystin, an anti-microbial product. *Salinosporamide A* represents the first natural product to be discovered that possesses a fused beta-lactone gamma-lactam bicyclic ring and is a highly potent anticancer agent.

The *Salinospora* group was initially recognized after phylogenetic characterization of sediment-derived actinomycetes isolated during an expedition to the Bahamas. Partial 16S rDNA gene sequences from eight morphologically diverse strains indicated the presence of four signature nucleotides between positions 207-468 (*E. coli* numbering system; Table 3). These signatures have subsequently been found in all 45 partially sequenced *Salinospora* strains. Two strains showing the highest phylogenetic diversity (CNH643 and CNH646) were sequenced nearly in their entirety (GenBank accession numbers AY040619 and AY040620, respectively) and found to possess one additional signature nucleotide (position 1456) that is also characteristic of this group (Table 3). Phylogenetic analyses of aligned sequences from these strains indicate that they form a distinct and coherent clade within the Micromonosporaceae (Figure 2). Signature nucleotides unify this clade and a high bootstrap value supports clear separation from the nine currently described genera within the family.

*16S rRNA signature nucleotides.* 16S rRNA signature nucleotides for the genus *Salinospora* and all nine currently accepted genera within the Micromonosporaceae are shown in Table 3. Forty-five diverse *Salinospora* isolates were partially sequenced and confirmed to have all four signature nucleotides at positions 207-468. The signature nucleotide at position 1456 was discovered after subsequent 3' sequencing of the 16S rRNA gene from several (20) *Salinospora* isolates. These are original signatures observed in this study (in addition to those previously published by Koch *et al.* 1996) that define the coherence of the *Salinospora* clade and separate it from other members of the family. Signature nucleotides were aligned to *E. coli* positions 27-1492 using all existing members of the Micromonosporaceae in the

Ribosomal Database Project. Members of the genus *Salinospora* show closest homology to *Micromonospora olivasterospora* (97.1-97.7% similarity), the most deeply rooted member of that genus, with whom they share eight of 12 previously published signature nucleotide positions. Thus *Salinospora* strains are more highly diverged from their closest phylogenetic neighbor than the recently described genus *Verrucosispora giformensis* which shows 98.0% similarity to *Micromonospora olivasterospora* and shares 11 of 12 previously published signature nucleotides.

Table 3.

Position 16S RNA	All Other Micromonosporaceae genera	<i>Salinospora</i>
207	(U/C)	A
366	(A/G)	C
467	(A/G)	U
468	A	U
1456	A	G

A follow-up study was undertaken in the Bahamas to determine the persistence of the *Salinospora* group. From 20 samples collected from four transects (0-30 m), 355 actinomycetes were observed and over 90% of these displayed characteristic *Salinospora* morphologies suggesting that this group may be the numerically dominant actinomycete in marine sediments. Of those observed, 100 strains were isolated for further study. The average numbers of *Salinospora* colony-forming units (cfu's) ranged from  $1.2-2.3 \times 10^3$  cfu's/ml sediment. Over 50% of the *Salinospora* isolates appeared on a low nutrient medium (M4) indicating the importance of using appropriate isolation techniques. Thirteen representatives of eight different colony morphotypes

were partially sequenced and the most phylogenetically diverse isolate (CNH898) was sequenced nearly in its entirety (GenBank Accession number AY040622).

An examination of 30 actinomycetes with *Salinospora* morphological characteristics that were isolated from the Bahamas in 1989 (Jensen et al, 1991) revealed that all but two of these strains had an obligate requirement of seawater ( $\text{Na}^+$ ) for growth. Ten seawater requiring strains representing six different morphotypes were partially sequenced and found to possess the five *Salinospora* signature nucleotides between positions 207-468 (Table 3). The nearly complete 16S rDNA sequence of two of these (CNB440 and CNB536, Gen Bank Accession numbers AY040617 and AY040618, respectively) indicates that they are diverse members of the *Salinospora* clade (Figure 2). Thus, strains belonging to this new taxon have been isolated from near-shore Bahamian sediments on three separate occasions over an 11-year period indicating that they are persistent members of the sediment bacterial community.

The two strains that did not require seawater for growth (CNB394 and CNB512) but had colony morphologies similar to *Salinospora* were found to lack the *Salinospora* signatures in Table 3. Analyses of the almost complete 16S rDNA sequence of these strains showed 99.6-99.9% similarity to *Micromonospora aurantiaca* str. W2b and the presence of all of the signature nucleotides previously published for the genus *Micromonospora* (Koch et al, 1996). The phylogenetic dendrogram clearly shows that CNB394 and CNB512 are members of the genus *Micromonospora* (Figure 2). *Micromonospora* isolates have been reported from marine sediments (Takizawa et al, 1993), including deep-sea samples (Weyland, 1981), however, unlike *Salinospora*, this genus is well known from terrestrial soils and seawater-requiring strains have not been reported.

From extended supra-littoral transects (10 locations, 30 samples) made in the Bahamas (2000 expedition), over 1000 actinomycete colonies were

observed including low numbers of Micromonosporaceae (ca. 2%), however none of these required seawater for growth. The inability to recover *Salinospora* strains from supra-littoral samples supports the observation that these bacteria are restricted to the marine environment.

To determine if *Salinospora* members had a broader distribution, sediments were collected from the Red Sea and the Sea of Cortez. From 42 Red Sea sediment samples, 22 isolates with *Salinospora* morphologies and an obligate requirement of seawater for growth were obtained. Six isolates representing 4 major morphotypes were partially sequenced and the almost complete 16S rDNA sequence of one strain (CNH725, GenBank Accession number AY040621) is represented in Figure 2. From 36 sediments collected in the Sea of Cortez, 20 seawater-requiring actinomycete strains were isolated and all of these possessed *Salinospora* morphological characteristics. Eight strains representing five different morphotypes were partially sequenced and the phylogenetically diverse isolate CNH964 (GenBank Accession number AY040632) was sequenced almost in its entirety (Figure 2). These results clearly indicate that *Salinospora* members are widely distributed in marine sediments.

Phylogenetic analyses and physiological characteristics indicate that the *Salinospora* clade represents a new genus within the family Micromonosporaceae. Although it is unlikely that the diversity within this genus has been revealed in the present study, intra-group 16S rDNA sequence similarity (98.6%) and a robust clade topology indicate that this genus is comprised of multiple species (Figure 2). Placement of the genus *Salinospora* within the family Micromonosporaceae is supported by the presence of a complete set of family-specific 16S rDNA signature nucleotides (Stackelbrandt, 1997).

Despite evidence that actinomycetes can be recovered from deep-ocean sediments, only one marine species has been described (Helmke and Weyland, 1984) and the inclusion of this group within the autochthonous

marine microbiota has not been widely accepted (Bull et al., 2000). Our data provide the first conclusive evidence for the widespread and persistent occurrence in marine sediments of unique populations of obligate marine actinomycetes. Phylogenetic and physiological evidence indicate that these actinomycetes comprise a new taxon and the generic epithet *Salinospora* gen. nov. has been proposed. *Salinospora* strains are a prolific source of biologically active secondary metabolites that are useful for a variety of applications.

#### EXAMPLE 1

*Sample collection and bacterial isolation.* Samples of the top 1 cm of sediment were collected by SCUBA and processed by either stamping, dilution and heat-shock or both methods. Dilution and heat-shock was carried out as follows: 1 ml of wet sediment was added to 4 ml of sterile seawater, heated for six minutes at 55°C, shaken vigorously, and dilutions of 10<sup>-2</sup> to 10<sup>-4</sup> were inoculated onto agar media (M1-M4). For stamping, 10 ml of wet sediment were aseptically placed into a sterile aluminum dish, dried (ca. 24 hours) in a laminar flow hood, ground lightly with a pestle, pressed into a sterile foam plug (14 mm in diameter) and inoculated onto agar media (M1-M4) by stamping 8-9 times in a clockwise fashion giving a serial dilution effect. All isolation media were prepared with 100% filtered natural seawater. Actinomycetes generally appeared after 4-6 weeks of incubation at 25-28°C and were considered as any colony with a tough leathery texture, dry or folded appearance and branching filaments with or without aerial mycelia. All isolation media had final concentrations of 100 micrograms/ml cycloheximide and 5 micrograms/ml rifampicin added after autoclaving.

Media were prepared by methods well known to those skilled in the art and all contain seawater. Recipes for media are as follows: M1: 10 grams starch, 4 grams yeast extract, 2 grams peptone, 18 grams agar, 1 liter natural seawater; M2: 6 ml glycerol, 1 gram arginine, 1 gram K<sub>2</sub>HPO<sub>4</sub>, 0.5 grams

MgSO<sub>4</sub>, 18 grams agar, 1 liter natural seawater; M3: 6 grams glucose, 2 grams solubilized chitin, 18 grams agar, 1 liter natural seawater; M4: 2 grams solubilized chitin, 18 grams agar, 1 liter natural seawater; M5: 18 grams agar, 1 liter natural seawater.

#### EXAMPLE 2

##### *DNA purification, amplification, sequencing and phylogenetic analyses.*

Genomic DNA was prepared as follows: 10 mg of vegetative mycelia grown on M1 agar for 2-4 weeks at 25-28°C was macerated and an aqueous cleared lysate, created by standard methods, was precipitated with 0.7 volumes of isopropanol. The resultant DNA pellet was then washed with 70% ethanol and resuspended in 10 mM Tris buffer (pH 8.5) to a final concentration of 100 ng/ml. 16S rDNA sequencing templates were amplified from 10-50 ng of genomic DNA template by the PCR using the primers FC27 (5' AGAGTTTGATCCTGGCTCAG) (SEQ ID 1) and RC1492 (5' TACGGCTACCTTGTACGACTT) (SEQ ID 2). PCR products were purified with a Qiagen QIAquick PCR clean-up kit using the manufacturer's protocols. Partial sequences of morphologically diverse strains were obtained from nucleotides 80-480 (*E. coli* numbering system) using the FC27 primer. Select 16S rDNA amplicons were sequenced almost in their entirety on both top and bottom strands using a total of ten primers. The ten contigs were then assembled yielding gene sequences of 1479 to 1483 unambiguous nucleotides. Hypervariable regions in the 16S rDNA sequences were excluded yielding a total of 1408 aligned nucleotides. 16S rDNA similarity values were calculated by the RDP similarity matrix online analysis and compared to the three nearest neighbors in the RDP database. Sequences were aligned to the secondary structure of members of the Micromonosporaceae in the RDP (Maidak et al, 2001) using the BioEdit software (Hall, 1999). Phylogenetic analyses were performed using the neighbor-joining and parsimony based algorithms in the Clustal W software

and PHYLIP software packages, respectively (Thompson et al., 1994; Felsenstein, 1993). The dendrogram (Figure 2) was drawn using Treeview 1.6.1 (Page, 1996).

#### EXAMPLE 3

*Genetic-analysis by Repetitive Extragenic Palindromic Polymerase Chain Reaction (REP-PCR).* The genetic diversity of *Salinospora* strains was analyzed using REP-PCR (Versalovic et al., 1991). This technique, when applied to the *Salinospora* group, involves the use of total genomic DNA as a template and PCR primers specific for repetitive sequences present in the genomes of high G+C content Gram-positive bacteria. The length of the PCR products for any one strain will vary with the position of the repetitive sequences in the genome and result in a population of amplicons of various lengths that when separated on an agarose gel create strain-specific banding patterns. This high throughput method allows for the detection of genetically distinct strains and is more sensitive than 16S rRNA gene analyses as a method to assess genetic diversity. REP-PCR banding patterns are used to sort strains into distinct groups that can produce different gene products. Grouping of strains based on REP-PCR banding patterns correlate well with groups based on the production of secondary metabolites.

#### EXAMPLE 4

*Production and isolation of useful products.* *Salinospora* strains were cultured in multiple sea-water based media including M1 and CKA (starch 5 g, fish hydro-solubles 4 ml, menhaden meal 2 g, kelp powder 2 g, chitosan 2 g, seawater 1 L). An adsorbent resin (XAD-16) was added to the fermentation 24 hours prior to harvest (day 11). The resin was collected by filtration, rinsed with deionized water, and eluted with acetone. Alternatively, cells were collected by filtration, freeze dried and extracted with acetone. The extract was concentrated by rotary evaporation and the residue subjected to C-18

flash chromatography followed by HPLC. The structures of novel fermentation products were resolved using a variety of methods including one- and two-dimensional NMR and mass spectroscopy.

#### EXAMPLE 5

*Antibacterial assay.* Extracts from cultured *Salinospora* strains were tested using standard methods to demonstrate their antibiotic activity against Gram-positive and Gram-negative bacteria. The method used to test against *Staphylococcus aureus* is detailed below. Similar methods are used to test for antimicrobial activity against other organisms. Extracts were compared to known antibiotics and relative activity levels determined. Extracts with potent antibiotic activity were further analyzed for the presence of novel metabolites.

Briefly, cultures of *S. aureus* were grown overnight to stationary phase. The number of bacteria per ml was calculated and a uniform number of bacteria were plated into individual wells containing fresh media. Compounds of interest, including known antibiotic agents (e.g. Oxacillin in DMSO at 0.04 mg/mL), were added to a single row of wells and serially diluted down the plate to determine the concentration required to kill the bacteria. Plates were incubated overnight at 37°C to allow for cell growth. Samples were read in an automated plate reader at 600 nm and MIC concentrations were determined.

#### EXAMPLE 6

*Antifungal assay.* Extracts from cultured *Salinospora* strains were tested using standard methods to demonstrate antifungal activity against *Candida albicans*. Extracts were compared to known antibiotics and their relative activities determined. Extracts with potent antifungal activity were further analyzed for the presence of novel metabolites.

Briefly, a culture of *C. albicans* was grown overnight to stationary phase. The number of cells per ml was calculated and the suspension was diluted and added to individual wells of 96-well plates. Alamar blue was

added to each well as an indicator of viability. Test extracts were added to a single row of wells and serially diluted down the plate to determine the concentration required to kill the fungal cells. Known antifungal agents such as amphotericin were used as a control. Plates were incubated for 12-15 hours at 37°C. Cell concentrations were determined using an automated plate reader at 600 nm and MIC concentrations were determined.

#### EXAMPLE 7

*Assay for the inhibition of growth of colon carcinoma cells and of ovarian cancer cells in vitro.* The cytotoxicity of extracts from cells or culture media were assessed *in vitro* against the human colon carcinoma cell line HCT116 and the human ovarian carcinoma cell line A2780 by MTS assay. Cells were plated at 4,000 cells per well in 96 well microliter plates and, after 24 hours, the extract (dissolved in DMSO or other appropriate solvent) was added and serially diluted. The cells were incubated with the compound at 37°C for 72 hours, then the tetrazolium dye MTS was added to a final concentration of 333 µg/ml and the electron coupling agent phenazine methosulfate was added to a final concentration of 25 µM. Once reduced, MTS is converted into a water insoluble blue crystal formazan and that was read at an absorbance at 490 nm with a microplate reader. As dead cells are unable to reduce MTS, the amount of formazan is correlated to the number of viable cells. The IC<sub>50</sub>, the drug concentration required to inhibit proliferation of 50% of the cells, was used as a measure of efficacy.

#### EXAMPLE 8

*Anti-Herpes Simplex Virus (HSV-1) assay.* Antiviral activity can also be determined using an MTS assay. Vero cells were plated into duplicate 96-well plates for infection with virus and cytotoxicity control. One plate of cells was incubated with virus for an hour at 37°C. Both plates were overlaid with a series of concentrations of the extract of interest and plates were incubated

for five days. MTS solution was added to the plates and the plates were incubated for three hours as described above. Absorbance at 490 nm was read with a microplate reader and correlated to antiviral activity and cellular toxicity.

#### EXAMPLE 9

*Chemical mutagenesis of Salinospora strains to generate overproducing strains.* Chemical mutagenesis of *Salinospora* strains can be performed to generate strains that overproduce a desired product. For example, a strain that produces an antibiotic at a low level is treated with ethylmethylsulfonate (EMS) during the mid-log growth phase. Mutagenized cultures are streaked onto plates to allow for the isolation of individual clones. From the individual clones, cultures are grown and the antibiotic, in a crude or pure form, is isolated. The relative yields of the compounds of interest produced by the mutagenized strains are compared to the original strain to select an overproducing strain.

#### EXAMPLE 10

*Heterologous gene expression.* Actinomycete strains have been useful as hosts for the production of secondary metabolites from other more slowly growing organisms (Tang, et al., 2000). Genes, either singly or in clusters, can be expressed in *Salinospora* strains for the production of proteins or secondary metabolites. Methods for transferring nucleic acids into bacteria are well known by those skilled in the art.

#### EXAMPLE 11

*Gene cluster isolation and expression.* The synthesis of a number of actinomycete antibiotics (e.g. actinorhodin, frenolicin, granaticin, griseusin, octatetracycline, and tetracenomycin) are produced by clustered polyketide synthetase (PKS) genes (Hopwood, 1995). PKS genes are classified into two

types of large multifunctional proteins. In PKS type I genes, the substrate progresses through a number of active sites on a single protein. In PKS type II genes, multiprotein complexes are produced and the substrate progresses from one protein to the next. PKS type II genes have been cloned and expressed in heterologous systems, either in their native groupings or in novel combinations. Combining genes for the synthesis of secondary metabolites from *Salinospora* with genes from other actinomycetes provides a novel method of biologically assisted combinatorial chemistry that can lead to the production of novel small molecules. Also, *Salinospora* biosynthetic genes can be shuffled and expressed in an heterologous host leading to the production of new metabolites. PKS genes are not the only ones that occur in modules. For example, non-ribosomal peptide synthetases are modular as well, and are frequently present in the actinomycetes. Biosynthetic gene clusters from the novel *Salinospora* group can be used as genetic feedstock for the expression of novel molecules in heterologous strains or for the over-production of native and recombinant gene products.

#### EXAMPLE 12

*Assay for anti-inflammatory activity.* Extracts from *Salinospora* cultures are tested by measuring inhibition of phorbol-induced inflammation (edema) in a mouse ear assays. This is a conventional test which has been accepted as demonstrating a compound's effectiveness in reducing inflammation. The compound is topically applied in acetone to the inside pinnae of the ears of mice in a solution containing an edema-causing irritant, i.e. phorbol 12-myristate 13-acetate (PMA). PMA alone (2 microgram per ear) or in combination with varying amounts of the extract is applied to the left ear (5 mice per treatment group) while an acetone (control) is applied to the right. After a 3-hour and 20-minute incubation at 23°C, the mice are sacrificed, the ears removed, and bores taken and weighed. Edema is measured by subtracting the weight of the right ear (control) from the weight of the left ear.

(treatment). The results are recorded as a percent decrease (inhibition) or percent increase (potentiation) in edema relative to PMA.

#### EXAMPLE 13

*Enzyme inhibition assay.* Extracts from *Salinospora* strains could be tested for their ability to inhibit enzyme activity: Extracts could be prepared as described above and serial dilutions of the extract added to enzyme-substrate mixtures to determine an IC<sub>50</sub> for the reaction.

#### EXAMPLE 14

*Enzyme activity assay.* Assays for enzyme activity can be tested by growing *Salinospora* strains in the presence of substrates of interest including, but not limited to chitin, lignin, cellulose, and other recalcitrant biopolymers, etc. Depending on the substrate, assays can be performed to determine the amount of substrate remaining or the amount of product produced.

#### EXAMPLE 15

*Agriculture/aquaculture protection assay.* Assays for the protection of plants from pathogens and general growth enhancement can be performed in a standard greenhouse trial. The strain of interest can be applied to the plant directly or incorporated into the growth media. Plants could be challenged by subjecting them to a pathogen and comparing their growth to control groups treated with a pathogen alone, treated with a *Salinospora* strain alone, or untreated. Rates of growth could be compared to select for strains with the desired activities.

Although an exemplary embodiment of the invention has been described above by way of example only, it will be understood by those skilled in the field that modifications may be made to the disclosed embodiment.

without departing from the scope of the invention, which is defined by the appended claims.

#### REFERENCES

Bull, A. T., A. C. Ward, and M. Goodfellow. 2000. Search and discovery strategies for biotechnology: The paradigm shift. *Microbiology and Molecular Biology Reviews*. 64:573-606.

Felsenstein, J. PHYLIP (Phylogeny Inference Package) version 3.5c.  
(Distributed by the author. Department of Genetics, University of Washington, Seattle., 1993).

Goodfellow, M., and J. A. Haynes. 1984. Actinomycetes in marine sediments, p. 453-472. In L. Ortiz-Ortiz, L. F. Bojalil, and V. Yakoleff (ed.), *Biological, biochemical, and biomedical aspects of actinomycetes*. Academic Press, Inc. Orlando.

Goodfellow M. and S.T. Williams. 1983. Ecology of actinomycetes. *Ann. Rev. Microbiol.* 37:189-216.

Helmke, E. and Weyland, H. 1984. *Rhodococcus marinonascens* sp. nov., an actinomycete from the Sea. *Int. J. Syst. Bacteriol.* 34:127-38.

Hopwood, D.A. 1995. Genetic manipulation of *Streptomyces* polyketide synthase genes for novel secondary metabolite production. *FEMS Microbiol. Rev.* 16:233-4.

Jensen, P.R., Dwight, R., and Fenical, W. 1991. Distribution of actinomycetes in near-shore tropical marine sediments. *Appl. Environ. Microbiol* **57**:1102-8.

Koch, C., R. M. Kroppenstedt, F. A. Rainey, and E. Stackebrandt. 1996. 16S ribosomal DNA analysis of the genera *Micromonospora*, *Actinoplanes*, *Catellatospora*, *Catenuloplanes*, *Couchioplanes*, *Dactylosporangium*, and *Pilimelia* and emendation of the family *Micromonosporaceae*. *International Journal of Systematic Bacteriology*. **46**:765-768.

Page, R. D. M. 1996. TREEVIEW: An application to display phylogenetic trees on personal computers. *Computer Applications in the Biosciences* **12**, 357-358.

Stackebrandt, E., F. A. Rainey, and N. L. Ward-Rainey. 1997. Proposal for a new hierachic classification system, *Actinobacteria* classis nov. *International Journal of Systematic Bacteriology*. **47**:479-491.

Takizawa, M., Colwell, R. R. & Hill, R. T. 1993. Isolation and diversity of actinomycetes in the Chesapeake Bay. *Applied and Environmental Microbiology* **59**, 997-1002.

Tang, L., Shah, S., Chung, L., Carney, J., Katz, L., Khosla, C., and Julien, B. 2000. Cloning and heterologous expression of the epothilong gene cluster. *Science*. **287**:640-2.

Thompson, J. D., Higgins, D. G. & Gibson, T. J. 1994. CLUSTAL W: Improving the sensitivity of progressive multiple sequence alignment

through sequence weighting, position-specific gap penalties and weight matrix choice. *Nucleic Acids Research* **22**:4673-4680.

Versalovic, J., T. Koeuth, and J. R. Lupski. 1991. Distribution of repetitive DNA sequences in eubacteria and application to fingerprinting of bacterial genomes. *Nucleic Acids Res.* **19**:6823-6831.

Weyland, H. 1981. Distribution of actinomycetes on the sea floor. *Actinomycetes, Zbl. Bakt. Suppl.* **11**:185-193.

**WE CLAIM:**

CLAIMS

1. A genus within the order Actinomycetales comprising an obligate requirement of sodium for growth and the presence of characteristic 16S rRNA sequences.
2. A method for producing biomolecules comprising growth of a strain of actinomycete with an obligate requirement of sodium for growth and characteristic 16S rRNA sequences, and collection of the actinomycete or growth media containing the biomolecule.
3. The method as in claim 2, wherein the biomolecule is a pharmaceutical agent.
4. The method as in claim 3, wherein the pharmaceutical agent is an antibiotic agent.
5. The method as in claim 3, wherein the pharmaceutical agent is an antifungal agent.
6. The method as in claim 3, wherein the pharmaceutical agent is an anti-cancer agent.
7. The method as in claim 2, wherein the biomolecule is a product of a gene from a heterologous organism.
8. A method for drug discovery comprising growth of a strain of actinomycete with an obligate requirement of seawater for growth and characteristic 16S rRNA sequences,

collection of the actinomycete or growth media, and

2 analysis of actinomycete or growth media for pharmacological activity.

9. The method of claim 8, wherein the analysis comprises an assay for  
4 antibacterial activity.

10. The method of claim 8, wherein the analysis comprises an assay  
6 for anti-viral activity.

11. The method of claim 8, wherein the analysis comprises an assay  
8 for anti-cancer activity.

12. The method of claim 8, wherein the analysis comprises an assay  
10 for anti-fungal activity.

Figure 1

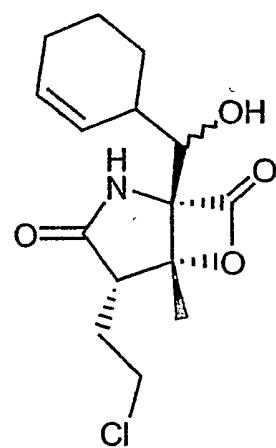
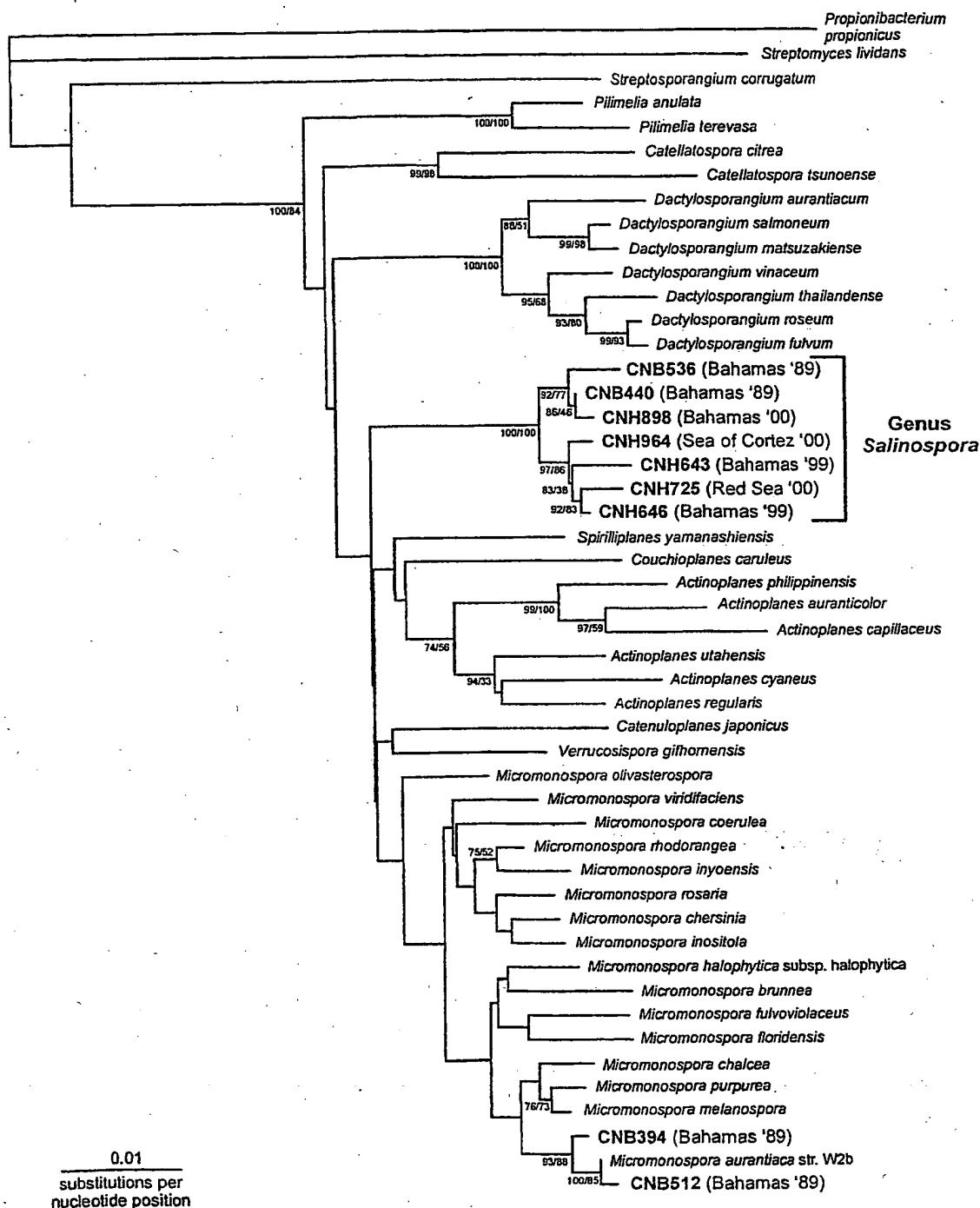


Figure 2



## SEQUENCE LISTING

<110> Fenical, William  
Jensen, Paul R.  
Mincer, Tracy J

<120> Marine Actinomycete Taxon for Drug and Fermentation Product Discovery

<130> 6627-PA1022

<150> 60/249,356  
<151> 2000-11-16

<160> 2

<170> PatentIn version 3.1

<210> 1  
<211> 20  
<212> DNA  
<213> Escherichia coli

<400> 1  
agagtttgat cctggctcag

20

<210> 2  
<211> 22  
<212> DNA  
<213> Escherichia coli

<400> 2  
tacggctacc ttgttacgac tt

22

(19) World Intellectual Property Organization  
International Bureau(43) International Publication Date  
20 June 2002 (20.06.2002)

PCT

(10) International Publication Number  
WO 02/047610 A3(51) International Patent Classification<sup>7</sup>: C12P 1/20, A01N 63/00

C12P 1/20,

(81) Designated States (national): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, OM, PH, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, UZ, VN, YU, ZA, ZW.

(21) International Application Number: PCT/US01/43758

(22) International Filing Date:  
16 November 2001 (16.11.2001)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:  
60/249,356 16 November 2000 (16.11.2000) US(71) Applicant: THE REGENTS OF THE UNIVERSITY  
OF CALIFORNIA [US/US]; 111 Franklin Street, 5th  
Floor, Oakland, CA 94067-5200 (US).(72) Inventors: FENICAL, William; 1128 Highland Drive, Del  
Mar, CA 92014 (US). JENSON, Paul, R.; 1706 Bancroft  
Street, San Diego, CA 92102 (US). MINCER, Tracy, J.;  
3822 First Avenue, San Diego, CA 92103 (US).(74) Agents: MCCLAIN, James, W. et al.; Brown, Martin,  
Haller & McClain, 1060 Union Street, San Diego, CA  
92101 (US).(84) Designated States (regional): ARIPO patent (GH, GM,  
KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW);  
Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM);  
European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR,  
GB, GR, IE, IT, LU, MC, NL, PT, SE, TR); OAPI patent  
(BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR,  
NE, SN, TD, TG).

## Published:

- with international search report
- before the expiration of the time limit for amending the claims and to be republished in the event of receipt of amendments

(88) Date of publication of the international search report:  
10 October 2002

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

WO 02/047610 A3

(54) Title: MARINE ACTINOMYCETE TAXON FOR DRUG AND FERMENTATION PRODUCT DISCOVERY

(57) Abstract: The invention is the discovery of an actinomycete genus, given the name *Salinospora* gen. no., that displays an obligate requirement of the seawater (Na<sup>+</sup>) for growth and unique 16S rRNA signature nucleotides. The invention is also the use of the genus for the production and discovery of active biomolecules such as pharmaceutical agents, agrochemicals, immunomodifiers, enzymes and enzyme inhibitors.

## INTERNATIONAL SEARCH REPORT

International application No.

PCT/US01/45758

## A. CLASSIFICATION OF SUBJECT MATTER

IPC(7) : C12P 1/20; A01N 63/00

US CL : Please See Extra Sheet.

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 435/41, 43, 106, 117, 252.1, 4, 7.32, 7.28, 71.3, 440; 424/115, 116

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched  
ATCC catalogue of Bacteria; The Prokaryotes

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

CAS ONLINE, USPAT, BIOSIS, AGRICOLA

search terms: actinomycet?, sodium, salt, biomolecul? antibiotic, anticancer, heterologous, transform?, drug discovery, assay

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	HELMKE et al., Rhodococcus marinonascens newspecies an actinomycete from the sea. International Journal of Systematic Bacteriology. 1984. Vol. 34 No. 2, pages 127-138.	1-12
Y	JENSEN et al. Distribution of Actinomycetes in NearShore Tropical Marine Sediments, Applied and Environmental Microbiology, April 1991, Volume 57, No. 4, pages 1102-1108. See especially page 1105.	1-12

Further documents are listed in the continuation of Box C.  See patent family annex.

* Special categories of cited documents:	"T"	later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
"A" document defining the general state of the art which is not considered to be of particular relevance		
"B" earlier document published on or after the international filing date	"X"	document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	"Y"	document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
"O" document referring to an oral disclosure, use, exhibition or other means		document member of the same patent family
"P" document published prior to the international filing date but later than the priority date claimed	"g"	

Date of the actual completion of the international search

16 MAY 2002

Date of mailing of the international search report

02 AUG 2002

Name and mailing address of the ISA/US  
Commissioner of Patents and Trademarks  
Box PCT  
Washington, D.C. 20231

Facsimile No. (703) 305-5230

Authorized officer

IRENE MARX

Telephone No. (703)-305-0196

Form PCT/ISA/210 (second sheet) (July 1998)★

## INTERNATIONAL SEARCH REPORT

International application No.

PCT/US01/48758

## C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	MORAN et al. Evidence for Indigenous Streptomyces populations in a Marine Environment Determined with a 16S rRNA Probe, Applied and Environmental Microbiology, October 1995, Volume 61, No. 10, pages 3695-3700. See especially pages 3698-3699.	1-12
Y	Colquhoun et al, Rapid characterization of deep-sea actinomycetes for biotechnology screening programmes, Antonie von Leuwenhoek Sept 2000, vol. 77, pages 359-367. See especially page 360.	1-12
Y	CRUEGER et al., Biotechnology: a Textbook of Industrial Microbiology, Sianuer Associates, Inc., Sunderland, MA, 1989, pages 4-8	2-12

Form PCT/ISA/210 (continuation of second sheet) (July 1998)\*

INTERNATIONAL SEARCH REPORT

International application No.

PCT/US01/48758

A. CLASSIFICATION OF SUBJECT MATTER:  
US CL :

485/41, 48, 106, 117, 252.1, 4, 7.32, 7.23, 71.3, 440; 424/115, 116, 93.4

**This Page is Inserted by IFW Indexing and Scanning  
Operations and is not part of the Official Record**

**BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- BLACK BORDERS**
- IMAGE CUT OFF AT TOP, BOTTOM OR SIDES**
- FADED TEXT OR DRAWING**
- BLURRED OR ILLEGIBLE TEXT OR DRAWING**
- SKEWED/SLANTED IMAGES**
- COLOR OR BLACK AND WHITE PHOTOGRAPHS**
- GRAY SCALE DOCUMENTS**
- LINES OR MARKS ON ORIGINAL DOCUMENT**
- REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY**
- OTHER:** \_\_\_\_\_

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.**

BLANK PAGE

BLANK PAGE